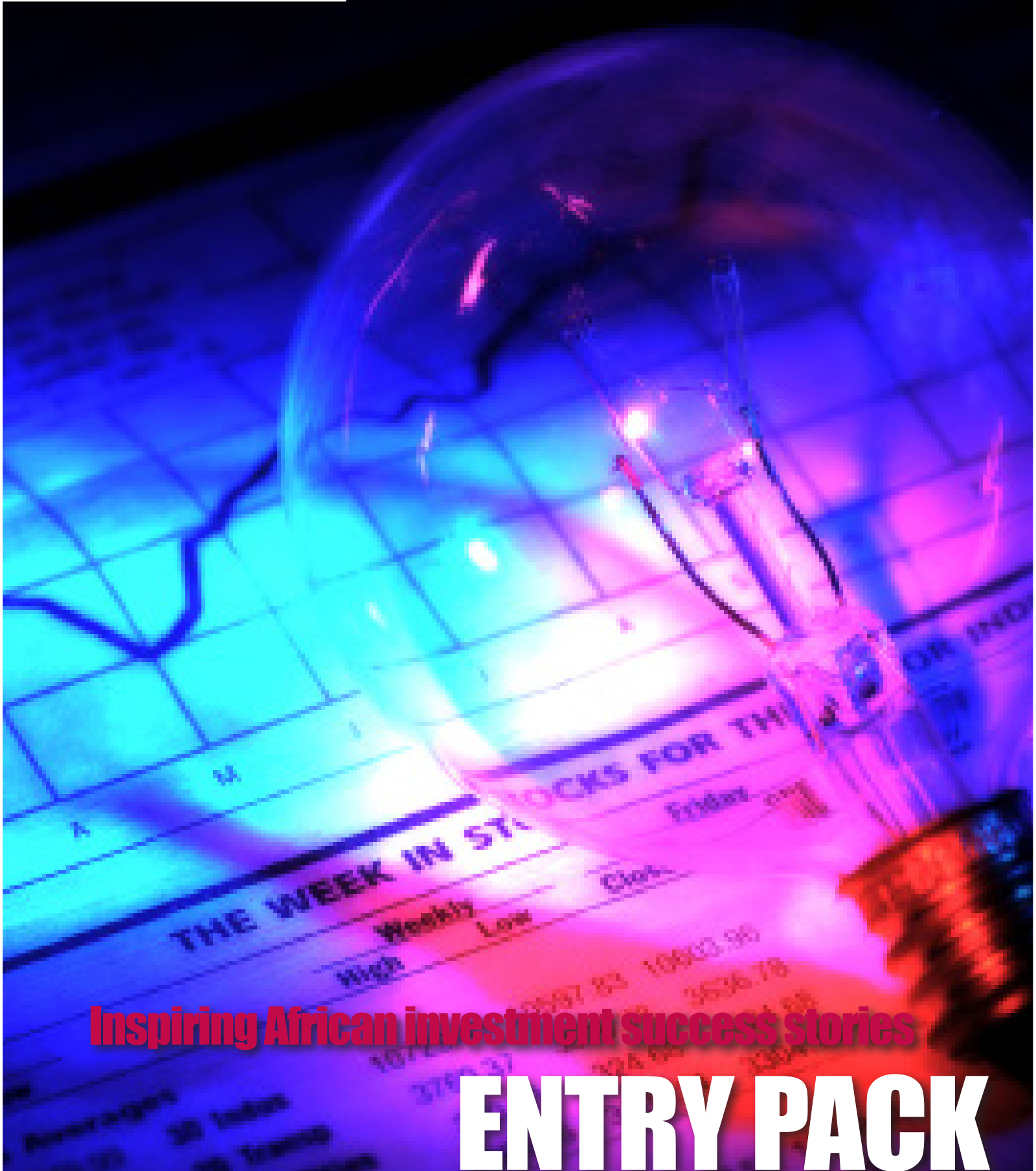


Ai africainvestor

Capital Markets Index Series Awards 2017

18 September 2017, New York, USA



Inspiring African investment success stories

ENTRY PACK

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Ai events

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INTRODUCTION: Ai CAPITAL MARKETS INDEX SERIES AWARDS 2017

Africa investor (Africa's leading benchmark and Index provider) will host the 2017 Africa investor (Ai) CEO Institutional Investment Summit and Ai Capital Markets Index Series Awards, to profile African capital market success stories to the global investment community.

The Ai CEO Institutional Investment Summit will bring together decision makers from stock markets, listed companies, fund managers, stockbrokers and analysts in Africa and others who have an interest in and follow the performance of African equities. Africa investor will also host the Ai Capital Markets Index Series Awards on the same day where the winners will be announced.

Africa investor will host the prestigious Ai Capital Markets Index Series Awards on 18 September 2017. The Awards are based around the Ai index Series and are designed to recognise Africa's best performing stock exchanges, listed companies, investment banks, research teams, regulators, socially responsible companies and fund managers. It is a unique African capital markets event.

WHEN AND WHERE

The Awards will be held on 18 September 2017 in New York, USA.

CATEGORIES

1. Most Innovative African Stock Exchange
2. Best Africa Investment Bank
3. Best Africa Research Team
4. Most Innovative Capital Markets Regulator
5. Best Performing Broker in Africa
6. Best African Fund Manager
7. Best African Listing
8. Best Performing Ai100 Company
9. Best Performing Ai40 Company
10. Most Innovative Ai SRI 50 Company
11. Most Innovative Ai SRI 30 Company
12. Ai100 CEO of the Year
13. Ai40 CEO of the Year
14. Ai SRI 50 CEO of the Year
15. Ai SRI 30 CEO of the Year
16. International Pension Fund Initiative of the Year
17. African Pension Fund Initiative of the Year
18. International Sovereign Wealth Fund Initiative of the Year
19. African Sovereign Wealth Fund Initiative of the Year
20. Ai Capital Markets Personality of the Year



1. Most Innovative African Stock Exchange

Who should enter?

This category is open to stock exchanges in Africa.

Criteria

Judges will be looking for evidence of:

- The initiatives/programmes you have implemented over the last year to improve the experience of investors using your exchange
- The initiatives/programmes you have to increase the number of listed companies on your exchange
- The programmes you have in place to encourage the listing of small and medium-sized enterprises
- What initiatives you will be implementing over the next 12 months
- Whether brokers can receive real time live feeds of pricing information from the exchange
- What rules you have in place for the protection of minority shareholders
- The extent of your use of information technology in settling trades
- Any other relevant information that demonstrates that your exchange deserves to be rated the best in Africa

2. Best Africa Investment Bank

Who should enter?

This category is open to investment banks only.

Criteria

Judges will be looking for evidence of:

- Your top African M&A and capital market transactions
- Your physical presence in Africa and number of staff working on Africa-related transactions
- Significant announcements based on the size and nature of M&A deals and private or public placement, either in Africa or involving African companies in the past year (31/05/2016 - 1/06/2017)

Judges will also take in to account the nature, complexity, size and number of deals carried out.

3. Best Africa Research Team

Who should enter?

Specialist equities research houses and research divisions within banks.

Criteria

Judges will be looking for evidence of:

- African listed companies covered
- The number of researchers working on African equities coverage
- How often the issue reports
- Research ethos



4. Most Innovative Capital Markets Regulator

Who should enter?

This category is open to capital market regulators in Africa.

Criteria

Judges will be looking for evidence of:

- Commitment to increasing transparency and efficiency
- Support for innovative technologies
- Employment of best regulatory practice
- Openness to foreign investors
- Investor protection (e.g. rules to prevent dilution and protect minority shareholders)
- Participation in industry associations such as IOSCO
- Efforts to create an enabling environment for the capital markets industry

5. Best Performing Broker in Africa

Who should enter?

This category is open to stock brokers in Africa

Criteria

Judges will be looking for evidence of:

- Number of companies covered
- Ability to trade across multiple markets and multiple currencies
- Quality of research
- African countries covered and pan-African trades executed
- Volumes and values of shares traded
- Number of bloc trades undertaken
- Efficiency of execution and back office best practice

6. Best Africa Fund Manager

Who should enter?

This category is open to fund managers, asset managers and investment banks or other bodies involved in managing assets and institutional investments.

Criteria

Judges will be looking for evidence of:

- Value of African assets under management at beginning and end of the agreed period
- Performance of the fund over the last 12 months.
- Type of fund (e.g. equity long only, equity and bonds etc.)
- Type of investors (retail, institutional or both)
- Ease of investing/withdrawing investment



7. Best African Listing

Who should enter?

This category is open to companies listed on any African exchange within the agreed period (31/05/2016 - 1/06/2017).

Criteria

Judges will be looking for evidence of:

- Total size and percentage of stock floated
- Access to retail investors
- Type of transaction (e.g. privatisation vs. entrepreneurial business)
- Price volatility since IPO
- Percentage of stock floated

8. Best Performing Ai100 Company

Who should enter?

This category is open to Ai100 companies not featured in the Ai40.

Criteria

Judges will be looking for evidence of:

- Performance
- Commitment to increasing volumes of shares traded
- Share performance compared with market performance between 31/05/2016 - 1/06/2017
- Volatility compared with market
- Portion of shares traded on market (i.e. free float)
- Major achievements in 2016-2017

9. Best Performing Ai40 Company

Who should enter?

This category is open to Ai40 companies only.

Criteria

Judges will be looking for evidence of:

- Share performance compared with market performance between 31/05/2016 - 1/06/2017
- Volatility compared with market
- Portion of shares traded on market (i.e. free float)
- Major achievements in 2016-2017



10. Most Innovative Ai SRI 50 Company

Who should enter?

This category is open to Ai SRI 50 companies only.

Criteria

Judges will be looking for evidence of:

- Commitment to sustainable development between 31/05/2016 - 1/06/2017
- Performance against Ai SRI 50 criteria
- Effective communication on CSR initiatives with stakeholders
- Major achievements in 2016-2017

11. Most Innovative Ai SRI 30 Company

Who should enter?

This category is open to Ai SRI 30 companies only.

Criteria

Judges will be looking for evidence of:

- Commitment to sustainable development between 31/05/2016 - 1/06/2017
- Performance against Ai SRI 30 criteria
- Effective communication on CSR initiatives with stakeholders
- Major achievements in 2016-2017

12. Ai100 CEO of the Year

Who should enter?

This category is open to all Ai100 Investors' Index CEOs.

Criteria

Judges will be looking for evidence of:

- Excellent leadership skills
- Enhanced organisational image
- Innovation and originality
- Social responsibility



13. Ai40 CEO of the Year

Who should enter?

This category is open to Ai40 Index CEOs only.

Criteria

Judges will be looking for evidence of:

- Excellent leadership skills
- Enhanced organisational image
- Innovation and originality
- Social responsibility

14. Ai SRI 50 CEO of the Year

Who should enter?

This category is open to all Ai SRI 50 Investors' Index CEOs.

Criteria

Judges will be looking for evidence of:

- Excellent leadership skills
- Innovation and originality
- Enhanced organisational image
- Alignment with the Sustainable Development Goals (SDGs)

15. Ai SRI 30 CEO of the Year

Who should enter?

This category is open to all Ai SRI 30 Investors' Index CEOs.

Criteria

Judges will be looking for evidence of:

- Excellent leadership skills
- Enhanced organisational image
- Innovation and originality
- Alignment with the Sustainable Development Goals (SDGs)



16. International Pension Fund Initiative of the Year

Who should enter?

This category is open to international pension funds and provident funds with interests and investments in Africa.

Criteria

Judges will be looking for evidence of:

- Examples of how the initiative/investment supports the development of Africa's capital markets
- Efforts to crowd in other international and African pension funds
- Leadership and contribution to the debate on the development of pension fund investing into Africa
- Commitment to stimulating increased institutional investment into Africa
- Allocation of investible funds to Africa
- Return on investment
- Socially responsible investing best practice
- Transparency and good governance
- Communication and engagement of retirees/members on Africa as an investment opportunity

17. African Pension Fund Initiative of the Year

Who should enter?

This category is open to African pension funds and provident funds with interests and investments in Africa.

Criteria

Judges will be looking for evidence of:

- Examples of how the initiative/investment supports the development of Africa's capital markets
- Efforts to crowd in other African and international pension funds
- Leadership and contribution to the debate on the development of pension fund investing into Africa
- Commitment to stimulating increased institutional investment into Africa
- Allocation of investible funds to Africa
- Return on investment
- Socially responsible investing best practice
- Transparency and good governance
- Communication and engagement of retirees/members on Africa as an investment opportunity



18. International Sovereign Wealth Fund Initiative of the Year

Who should enter?

This category is open to international sovereign wealth funds and respective ministries with interests and investments in Africa.

Criteria

Judges will be looking for evidence of:

- Examples of how the initiative/investment supports the development of Africa's growth sectors
- Efforts to crowd in other African and international sovereign wealth funds
- Leadership and contribution to the debate on the development of sovereign wealth fund investing into Africa
- Commitment to stimulating increased institutional investment into Africa
- Allocation of investible funds to Africa
- Return on investment
- Socially responsible investing best practices
- Transparency and good governance
- Communication initiatives on Africa as an investment opportunity

19. African Sovereign Wealth Fund Initiative of the Year

Who should enter?

This category is open to African sovereign wealth funds and respective ministries with interests and investments in Africa.

Criteria

Judges will be looking for evidence of:

- How the initiative/investment supports the development of Africa's growth sectors
- Efforts to crowd in other African and international sovereign wealth funds
- Leadership and contribution to the debate on the development of sovereign wealth fund investing into Africa
- Commitment to stimulating increased institutional investment into Africa
- Allocation of investible funds to Africa
- Return on investment
- Socially responsible investing best practices
- Transparency and good governance
- Communication initiatives on Africa as an investment opportunity
- Transparency and good governance
- Communication and engagement of members on Africa as an investment opportunity



20. Ai Capital Markets Personality of the Year

Who should enter?

This category is open to leaders within both the public and private sectors who are initiating change and innovation within Africa's capital markets.

Criteria

Judges will be looking for evidence of:

- Promotion of African capital markets worldwide
- Commitment to best regulatory practices
- Leadership on seminal initiatives
- Major achievements in 2016-2017

Entry Details

1. You are welcome to enter as many categories as you wish, unless specified to the contrary. Please provide a separate entry for each category.
2. By submitting an entry for the Awards you agree to be bound by these terms and conditions and any modified version of these terms and conditions.
3. All funds and transactions entered for these categories must have reached close. Deals still in progress cannot be considered.
4. You are recommended not to copy and paste information from your website/company report but to respond to the category specific criteria.
5. Please ensure that you include all your contact details in the submission and that of your division head.
6. You may also submit supporting materials with your written submission.
7. Africa investor understands that some information contained in your submission may be commercially sensitive. Summaries of winning entries will be published in Africa investor. However, you will be given the option to omit any commercially sensitive information.
8. Africa investor is unable to return submissions.
9. Submissions should be for the period of June 2016 to end May 2017



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ENTRY FORM

Please fill out the form below:

Submit a 500-word submission on your project or business. This submission will be judged by the panel against the criteria laid out above for the relevant category.

Send, fax or email both items to Catherine Wright using the contact details provided on the form.

Entry Category
Project title (where applicable)
Name
Position
Organisation
Address
Postcode
Telephone
Fax
E-mail Address

Please send me further details of the awards winner

Yes No

I have attached a submission and supporting details

500-word submission Supporting materials

Please ensure that your submission and any other materials are clearly marked with your organisation name and contact details. Please ensure that your organisation name is spelt as you would like it to appear on your Award, should you win. **Closing date: 15 July 2017**

Contact Details

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